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# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
POU9-2000-0008-US1 PRO

Total Pages in this Submission: 58

JCB 09/58

05/31/00

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD, SYSTEM AND PROGRAM PRODUCTS FOR CONTROLLING SYSTEM TRAFFIC OF  
A CLUSTERED COMPUTING ENVIRONMENT**

and invented by:

**Marcos N. Novaes, Gregory D. Laib, Ronald T. Goering, George Sohos, Felipe Knop**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

## Application Elements

1.  Filing fee as calculated and transmitted as described below
  
2.  Specification having 72 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

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(Large Entity)**

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**Application Elements (Continued)**

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)  
a.  Formal Number of Sheets 22  
b.  Informal Number of Sheets \_\_\_\_\_

4.  Oath or Declaration  
a.  Newly executed (*original or copy*)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)  
c.  With Power of Attorney  Without Power of Attorney  
d.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  Computer Program in Microfiche (*Appendix*)

7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)  
a.  Paper Copy  
b.  Computer Readable Copy (*identical to computer copy*)  
c.  Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8.  Assignment Papers (*cover sheet & document(s)*)

9.  37 CFR 3.73(B) Statement (*when there is an assignee*)

10.  English Translation Document (*if applicable*)

11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Acknowledgment postcard

14.  Certificate of Mailing

First Class  Express Mail (*Specify Label No.:* EL643175408US)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
**POU9-2000-0008-US1**

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16.  Additional Enclosures (*please identify below*):

**Statement of Relevance (1 page)**

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	3	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				<b>BASIC FEE</b>	<b>\$690.00</b>
<b>OTHER FEE (specify purpose)</b>					<b>\$0.00</b>
				<b>TOTAL FILING FEE</b>	<b>\$690.00</b>

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0463 (IBM) as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$690.00 as filing fee.

Credit any overpayment.

Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Blanche E. Schiller  
*Signature*

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Dated: May 31, 2000

cc:

JC834 U.S. PRO  
09/583849  
05/31/00

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

In Re Application of: Novaes et al.

Title: METHOD, SYSTEM AND PROGRAM PRODUCTS FOR CONTROLLING  
SYSTEM TRAFFIC OF A CLUSTERED COMPUTING ENVIRONMENT

Attorney Docket No.: POU9-2000-0008-US1 (0560.300)

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Date of Deposit May 31, 2000

I hereby certify that this paper is being deposited  
with the U.S. Postal Service "Express Mail Post Office  
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BOX PATENT APPLICATION  
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WASHINGTON, D.C. 20231

JILL K. BECKER  
(Typed or printed name of person mailing paper or fee)

*Jill K. Becker*  
(Signature of person mailing paper or fee)

Enclosures:

- \* U.S. Patent Application which includes:  
Specification (68 pages), 3 Claims (3 pages), Abstract  
(1 page), and twenty-two (22) sheets of Formal Drawings
- \* Utility Patent Application Transmittal Letter (3 pages)  
(in duplicate)
- \* Declaration and Power of Attorney for Patent Application  
(4 pages) (Unsigned)
- \* Information Disclosure Citation (1 page) and twelve (12)  
references
- \* Statement of Relevance (1 page)
- \* Two (2) Acknowledgment Postcards